



SECTION IV: APPENDICES



LONG RANGE PLAN

First published in April 1994, and revised in April 1998, the *Long Range Plan* for the United States Bankruptcy Court of the Central District of California describes the Court's mission, goals, and objectives, and serves as a guide for managing the Court. It exemplifies the future direction of the Court, and is utilized to help guide future decision making at all levels.

Chronicling the progress accomplished in 69 short term, long term and ongoing goals and objectives, the *Long Range Plan* has been included in each *Annual Report* for the Court since 1994.

The *Long Range Plan* is organized into six key planning issues:

- Leadership (LD) - page 68
- Ethics and Standards of Conduct (ES) - page 70
- Case Management (CM) - page 71
- Community Relations (CR) - page 75
- Human Resources (HR) - page 77
- Space Planning (SF) - page 82

In addition, the Court has assigned the highest priority to the following objectives:

- CM2E:** Convert to one uniform automated case management system for the entire district.
- CM2B:** Determine the feasibility of, and develop an approach for, creating a "paperless" Court through the use of an electronic case filing system.
- CM4C:** Review and determine the feasibility and desirability of accepting filings by fax.
- CM4B:** Implement an electronic files system within the Court to make documents available online to all interested parties.
- CR3D:** Initiate and maintain a regular liaison with local members of Congress.
- CR4A:** Create and staff an ombudsperson position in each Division to assist the public with legal or procedural questions that the Clerk and his staff are prohibited from answering.
- CR4B:** Establish a *pro bono* program at each Divisional Office location.

LONG RANGE PLAN

LEADERSHIP (LD)

Goal #	Description	Accomplishments	Status
LD1	Develop leadership skills throughout the Court.	Significant efforts have been made to enhance leadership skills throughout the Court. Leadership Training Completed: Federal Court Leadership Program, Adaptive Manager, Peer Coaching, Supervisors Development, Teamwork Essentials, Applied Supervision, Deputy Clerk Leadership Training, Performance Management, Zenger-Miller program, Applied Supervision, Front Line Leadership, CLEAR (Continuing Leadership Education and Realistic) Training, Leadership 2000, Essence of Leadership, Supervising in the Courts, Staff Mentor Program, Peer Coaching, Working Together, and other training, Tuition Reimbursement Program 1997-present. Clerk's Office seminar leadership topics: Analysis of Performance Management Systems; Administering Performance Appraisals; Planning Our Performance Management System; Coping with Change; Hire the Right Person-Effective Interviewing; Exceptional Leaders in Exceptional Organizations.	O
LD2	Increase effectiveness of the Court's communication and working relationships with other federal courts, agencies and Congress.	Online Case Files, Judicial Workload Equalization Program (JWEP), Visiting Judge Program, U. S. Trustee Liaison Committee, Fraud Task Force, IRS participation in Court's Electronic Bankruptcy Noticing program (EBN), FAS ₄ T training, participation in various U. S. Agency for International Development programs (Romania), Methods Analysis Program (MAP) and other projects/programs where Clerk's Office staff participate with and provide support to other agencies and bankruptcy courts. Free webPACER access provided to certain Law Enforcement agencies. Representation on the District Court's Bankruptcy Committee and other District Court committees, biweekly meetings with the other court unit executives, membership on Circuit and Conference Committees, designated liaisons for the House and Senate, joint meetings of the District and Bankruptcy Court executive committees. Annual Reports provided to our district's Senators and Representatives. Judge Fenning's written communications to the U. S. House of Representatives regarding the Private Trustee Reform Act of 1997. Meetings of Chief Judge Mund with Senators Feinstein and Boxer.	O

O = Ongoing

C = Completed



LEADERSHIP (LD)

Goal #	Description	Accomplishments	Status
LD3	Improve communication and relations with state courts and legislative branches.	Free webPACER access provided to certain Law Enforcement agencies, Bankruptcy Fraud Task Force with State Courts, Article 9 Training.	O
LD4	Initiate and formalize cooperative efforts with professional organizations and groups.	<i>Pro bono</i> programs coordinated with all divisions and local bar associations, Los Angeles County Bar - Executive officer/senior staff attend meetings and provide reports; bankruptcy forums. Bankruptcy Fraud Task Force, bench/bar committee regarding guidelines for complex chapter 11 cases, judges' participation in local bar associations and other outside professional organizations.	O

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ETHICS AND STANDARDS OF CONDUCT (ES)

Goal #	Description	Accomplishments	Status
ES1	Provide an impartial Court environment to all users.	Utilizing equipment to enable speech-impaired individuals to participate in hearings; handicapped access to facilities. Interpreter policy formulated. Ninth Circuit gender bias program. Judges' training in March 2000 titled "Communication Strategies in Bankruptcy Court," <i>pro bono</i> programs provide support to <i>pro se</i> debtors.	O
ES2	Foster a workplace free of bias.	EEO/EDR Plan became effective in January 1999, with all staff provided with copies of the plan for their Personnel Handbooks and trained in its provisions; Grievance Procedure/EDR Plan training presented to management staff; Annual EEO report; Diversity training by Court Resources; Sexual Harassment training by Court Resources.	O
ES3	Foster a courtroom environment free of bias.	See ES1.	O
ES4	Foster civility within the courtroom environment.	Clerk's Office staff attended FJC training designed to improve communication with co-workers and others. Judges' training in March 2000 BOJ Meeting with Dr. Gordon Zimmerman titled "Communication Strategies in Bankruptcy Court."	O

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CASE MANAGEMENT (CM)

Goal #	Description	Accomplishments	Status
CM1A	Institute ongoing communication among judges, judicial staff, and Clerk's Office regarding expectations, progress and case processing performance.	There is much communication occurring regarding expectations, progress and performance through monthly, quarterly, and annual reports. Also, there is friendly "competition" between the divisions with the Closing Trophy and the TIDE Award, with monthly feedback provided to staff on performance, closing standards/monthly reporting, TIDE (Time-to-Image/Docket Excellence) standards/monthly reporting, <i>Bankruptcy Program Indicators</i> , Newsletter articles re: performance, quality measures posted on web, Intranet access to "Staff News," TIDE/Closing goals/status, <i>Full Court Press</i> , QC/ICS quality reporting, feedback to staff at various meetings (i.e., Employee of the Month Ceremonies, Divisional Employee of the Month/Quarter, Annual Awards Ceremonies).	O
CM1B	Develop and implement District-wide quality control program to monitor and evaluate case management functions.	QC/ICS - Case Initiation review (100%), transcript review, docketing review by team leaders, appeal review, re-open policy, dismissal policy, report on cases closed prior to expiration of 10-day appeal period.	O
CM1C	Develop and implement a fully automated and integrated bankruptcy fiscal system.	FAS ₄ T (Financial Accounting System for Tomorrow, ICS (Intake Cashiering System), LAFS (Los Angeles Financial System).	O
CM2A	Expand and enhance automated docketing.	Auto Closing of Discharged Cases, Auto Closing of Dismissed Cases, Cmatrix, automated docketing and noticing of 341(a) meetings, automated docketing of certificates of mailing, ICS to NIBS interface, docket-driven events, EDI; automated candidate list of dismissals; Closing-to-Image program.	C
CM2B	Determine the feasibility of, and develop an approach for, creating a "paperless" Court through the use of an electronic case filing system.	Electronic-Filing (E-Filing) project, online case files, posting of most current version of documents (e.g., Docket Code Dictionary, Telephone Directory, forms, various publications) on Court's web site, cc:Mail.	C

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CASE MANAGEMENT (CM)

Goal #	Description	Accomplishments	Status
CM2C	Develop and implement "file anywhere, anytime" policy.	Drop box, direct connection to Los Angeles ICS from Santa Ana during Democratic National Convention. (CM2C superseded by E-Filing.)	
CM2D	Develop and implement "Windows-based" case management system.	Development of various components for NIBS in Visual FoxPro (e.g., auto closing of discharged and dismissed cases, auto docketing and noticing of 341(a) meeting, Pending Chapter 11 report), Court to move to CM/ECF.	O
CM2E	Convert to one uniform case management system for the entire district.	All divisions using same integrated versions of NIBS/ ICS/ CCP/VRMS.	C
CM2F	Review and evaluate performance of all case processing functions: opening, docketing, noticing, filing, calendaring, handling correspondence, conforming copies, recording proceedings, retrieval of and routing files to judges, and closing.	Bankruptcy Program Indicators (national), Case Aging Reports, QC/ICS, Time-to-Image/Docket Excellence (TIDE) monthly statistics/tracking, Methods Analysis Program (MAP), transcript review, docketing review, etc.	O
CM2G	Eliminate or reduce redundancies and delay points in the processing of cases.	Auto Closing of Discharged Cases, Auto Closing of Dismissed Cases, Cmatrix, automated docketing and noticing of 341(a) meetings, automated docketing of certificates of mailing, ICS to NIBS interface, closing-to-image, docket-driven events, etc.	O
CM3A	Implement court-wide, uniform self-calendaring system.	Interim self-calendaring systems implemented by participating judges.	O
CM3B	Develop uniform system for early publication of tentative rulings.	Court Calendaring Program (CCP) tentative ruling feature available for participating judges.	C

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CASE MANAGEMENT (CM)

Goal #	Description	Accomplishments	Status
CM4A	Implement video conferencing pilot project in at least four divisional offices within the district.	All divisions equipped with video hearing technology, five judges using video to handle inter-divisional caseload.	C
CM4B	Implement an electronic files system within the court to make documents available online to all interested parties.	Online case files available in all divisions.	C
CM4C	Review and determine the feasibility and desirability of accepting filings by fax.	CM4C superseded by E-Filing.	
CM4D	Develop and implement an automated system to provide case information.	webPACER, Voice Case Information System (VCIS), online case files, Court's web site (for high profile cases).	C
CM4E	Develop and implement an automated system to provide calendar information and self-scheduling capability.	Court calendar automated through Court Calendar Program (CCP) in all divisions, with data available through webPACER and lobby kiosks. Self-scheduling also available by voice mail systems for participating judges.	C
CM4F	Develop an online universal forms catalog.	Court's web site provides staff and the public with most current online forms.	C
CM4G	Develop a cross-referenced topical index system for Court committee and Board of Judges discussions and actions to track issues, decisions, and implementation.	Posting list of Committee assignments on Court's intranet.	O

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CASE MANAGEMENT (CM)

Goal #	Description	Accomplishments	Status
CM5A	Revise, simplify and renumber the Local Bankruptcy Rules. Coordinate with the District, Circuit and Local Advisory Committee on Bankruptcy Rules projects regarding local rule organizational structure.	Revision of Local Bankruptcy Rule's numbering system to conform to the national rules.	C

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COMMUNITY RELATIONS (CR)

Goal #	Description	Accomplishments	Status
CR1A	Establish relationship with minority and culturally diverse bar organizations.	Requested input on Long Range Plan.	O
CR1B	Make frequently-used informational documents available in multiple languages.	Separate pamphlets of general bankruptcy information for chapters 7, 11, and 13 available in Spanish on the Court's web site and at divisions. Selected information about reaffirmation agreements and the Debtor's Assistance Program in Spanish.	O
CR1C	Determine information needs of community via surveys, focus groups, and interviews.	Customer Service Survey available on the Court's web site and at each division. Example: webPACER billing module by client introduced based on feedback from users. Judicial Variance Survey. Numerous focus groups.	O
CR1D	Make translation services available, as feasible.	Translation services currently available within AO guidelines and a list of qualified interpreters (language and sign) is available through the JNet. Bilingual staff provide support as-needed in Clerk's Office. CA(C) Bankruptcy Court Interpreter policy.	C
CR2A	Initiate periodic, outside input on Court operations.	Methods Analysis Program (MAP), Customer Service Survey available on the Court's web site and at each division. Modified billing module for webPACER to allow identification of client information as a result of feedback.	O
CR3A	Conduct evaluation of public education needs concerning bankruptcy related issues and recommended solutions.	Education materials have been made available to the public on web site. Customer Service Survey available on the Court's web site and at each division. U. S. Trustee educational program for high school students on the use of credit. Debtor education video, other educational programs.	

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COMMUNITY RELATIONS (CR)

Goal #	Description	Accomplishments	Status
CR3B	Establish regular communication with and provide appropriate bankruptcy-related educational materials and programs to community groups and educational institutions.	Judges and Clerk's Office staff speak at many functions; petition packages, <i>pro bono</i> programs in all divisions; Mediation Program available on Court's web site.	
CR3C	Explore opportunities and make available Court representatives to participate in the education of the public concerning issues related to bankruptcy.	Judges and Clerk's Office staff speak at many functions; <i>pro bono</i> programs in all divisions; Public Information Desks provide the public with a video presentation on the bankruptcy process; printed information and forms, as well as <i>pro bono</i> referrals.	O
CR3D	Initiate and maintain a regular liaison with local members of Congress.	Chief Judge Mund assigned judges to liaison with congressional staff.	O
CR4A	Create and staff an ombudsperson position in each division to assist the public with legal or procedural questions that the Clerk and his staff are prohibited from answering.	<i>Pro bono</i> programs established in all divisions.	
CR4B	Establish a <i>pro bono</i> program at each divisional office location.	<i>Pro bono</i> programs established in all divisions.	C

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HUMAN RESOURCES (HR)

Goal #	Description	Accomplishments	Status
HR1A	Establish accurate, specific, uniform and comprehensive job descriptions and recruitment bulletins.	Job descriptions/titles standardized district-wide; recruitment expanded to the Court's web site; recruitment bulletins redesigned to correctly identify required knowledge, skills, and abilities for each position.	C
HR1B	Develop training programs to instill problem-solving orientation.	Team-based training, ongoing training, including Federal Judicial Television Network training broadcasts, Zenger-Miller programs, etc.	O
HR1C	Develop and implement an online training system covering all automated system applications used by the Court.	Online Manuals: NIBS Docket Code Dictionary, Citrix Users Manual, Attorney Admissions Database Instructions, Print-on-Demand, Video Manual, Professional Fee Module.	O
HR1D	Create a training program for all staff using the Code of Conduct for United States Court Clerks.	Clerk's Office provided a Code of Conduct section for their Personnel Policies and Information Handbook in 1996. All Clerk's Office staff were provided with an overview upon its introduction.	O
HR1E	Develop in-house training programs to prepare staff for broader technical, analytical, and managerial responsibilities.	Classes provided to staff: Adaptive Manager, Working, FAS ₄ T, Train the Trainer, Presentation and Development Techniques, Leadership 2000, Hire the Right Person, Applied Supervision, writing and grammar classes, QuattroPro, WordPerfect, Power Point, etc.	O
HR1F	Continue the development of training programs to further develop employee job skills.	Classes provided to staff: writing and grammar classes, software training (QuattroPro, WordPerfect, Power Point, etc.), customer service, video production, CAC operations software (ICS, NIBS, CCP, VRMS, etc.), and others. Library (list posted on Court's web site) made available to staff consisting of books, audio and video tapes on subjects ranging from communication and management skills to bankruptcy.	O

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HUMAN RESOURCES (HR)

Goal #	Description	Accomplishments	Status
HR1G	Increase training and development of leadership skills at <u>all levels</u> .	Classes provided to staff: Applied Supervision, Performance Management, Presentation Skills, grammar and writing classes, etc., cross-training, certification program, staff details, etc.	O
HR1H	Increase training to develop written communication skills at all levels.	Writing and grammar classes provided regularly by outside vendor. Detail staff to assess and develop writing skills.	O
HR1I	Train staff to recognize and effectively deal with cultural diversity.	EDR training provided for management staff.	O
HR1J	Train staff on providing helpful and courteous service.	Clerk's Office developed and introduced customer service training program "The Public: How to Deal with Them?," and AO-sponsored "Deputy Clerks Making a Difference" program, and "Dealing with Difficult People."	O
HR1K	Provide increased staff education about importance and role of bankruptcy system in general economy and legal system, tying that education to importance of job performance for real-life concerns of users.	"Lunch and Learn" programs, and "Deputy Clerks Making a Difference," "Introduction to Bankruptcy," Extern and Law Clerk training, <i>Full Court Press</i> "Ask the Judges" column.	O
HR2A	Improve the performance evaluation process.	Performance Evaluation (PE) form simplified; management staff received training in improving staff performance through enhanced written evaluations; "Administering Performance Appraisals" training provided; implementation of Abra (personnel automation) enables management to track performance evaluation due dates to ensure timeliness. Clerk's Office also performs statistical analysis of summary PE ratings for all staff at each division.	C

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HUMAN RESOURCES (HR)

Goal #	Description	Accomplishments	Status
HR2B	Establish performance standards.	Within Grade Increase certifications have been combined with the annual Performance Evaluations process, eliminating redundancies and discrepancies in assessing job performance. Synchronized with step increase; track mean/median by division. Performance seminar held for team leaders, supervisors, managers included classes in analysis of performance management, administering performance appraisals, and planning the Court's performance management system. A Performance Standards Committee was formed that has reviewed performance standards from other courts and other related material and has also drafted performance standards for many positions.	C
HR2C	Develop procedure manual for each position as training tool to encourage uniformity and facilitate establishing performance standards.	Intake Manuals, certification training, comprehensive docketing procedures, established uniform district-wide policies for use of the Order to Comply (ORCO), Case Commencement Deficiency Notice (CCDN), Case Initiation Action Notice (CIAN), and Rejection Notice.	O
HR2D	Establish consistent performance expectations and measurements for all positions.	District-wide operations job descriptions were revised and specific skill sets were delineated for each classification. Training outlines identifying expected performance at each level have been drafted. Certification program developed and implemented. Performance seminar held for management that included classes in analysis of performance management, administering performance appraisals, and planning the Court's performance management system. A Performance Standards Committee was formed that has reviewed performance standards from other courts and other related material and has drafted performance standards for many positions.	C
HR2E	Establish job performance self-evaluation as part of performance review process.	Staff do self-evaluation and submit to supervisor, who considers self-rating before actual evaluation is prepared and discussed with employee. Discrepancies between self and actual ratings are key discussion points during administration of performance evaluation.	C

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HUMAN RESOURCES (HR)

Goal #	Description	Accomplishments	Status
HR2F	Monitor and support the transition to automation.	Measurement of performance on time-to-docket, time-to-image quality, and QC/ICS. Enhancements are tested, piloted and employee experiences and feedback considered before implementation.	O
HR2G	Develop and implement a program to enhance employee job satisfaction.	Annual Awards Ceremonies, Employee of the Month (district-wide), and various Employee of the Month/Quarter programs in divisions, cross-training of new skills, Certification Program, EAP presented "Coping With Change" all divisions.	O
HR3A	Create employee feedback mechanisms.	Team-based management structure, Statistics and feedback on: QC/ICS, docketing quality, case closing, time to docket, imaging speed, PE process/discussions.	O
HR3B	Clarify role definition for chambers and courtroom staff, including Courtroom Deputies, Judicial Assistants, Law Clerks, Electronic Court Recording Operators, and Relief Courtroom Deputies.	Created new positions of Case Initiation Clerk and Courtroom Services Clerk that reflect new skill sets, new promotional opportunities, cross-training opportunities, etc.	C
HR3C	Develop and implement employee orientation program for Clerk's Office and Chambers staff.	Intern/extern training for new interns/externs. Full day orientation for Clerk's Office staff including Personnel Handbook, half-day orientation for judicial staff.	C
HR3D	Improve upward and downward communications among divisions and between divisional offices.	E-mail, monthly Senior Staff Meetings, annual seminars for team leaders and above, participants rotated, district-wide training, <i>Full Court Press</i> , joint efforts: NIBS Procedures Manual, ICS/NIBS Committee, etc., group training: Abra, VRMS, FAS ₄ T, etc.	O
HR4A	Provide multilingual service capability (e.g., bilingual staff).	Translation services currently available within AO guidelines and a list of qualified interpreters (language and sign) is available through the JNet. Bilingual Clerk's Office staff assist public as needed.	O

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HUMAN RESOURCES (HR)

Goal #	Description	Accomplishments	Status
HR4B	Improve human resource programs that ensure parity between the employee force and the labor force.	Employment Dispute Resolution Plan implemented; commuter benefits; child care; cafeteria plan; flexible spending plan; medical spending accounts; long term care; retirement services; open season information; COLAs; Family Friendly Leave; locality pay differential; tuition reimbursement program, etc.	O
HR5A	Compare current personnel practices to personnel practices of other organizations and identify possible improvements in each practice.	Benefits: HR staff members attended Ninth Circuit Annual HR Conferences in 1999 and 2000 with AO's Personnel Office and other federal judiciary HR professionals. Compared personnel practices in the areas of recruitment, benefits administration, personnel manual layouts, etc. HR also attended Judiciary Benefits Conferences in 1999 and 2000 to discuss personnel issues and network with other HR professionals. As a result of the conferences: Created a Benefits Specialist position to handle the growing area of benefits administration in order to provide more effective service to Court staff. Utilized knowledge gained at conferences to assist in implementation reduction in work force December 2000, which became especially useful in areas of save grade/saved pay and severance regulations. Able to effectively develop and present training seminars to staff on various benefits programs. As a result of training received, HR's ability to counsel staff on benefits programs, especially in retirement planning area, was enhanced. Based upon AO information received at seminars, initiated an ongoing internal HR project to ensure that all staff are classified in correct retirement system.	O

O = Ongoing

C = Completed





SPACE PLANNING (SF)

Goal #	Description	Accomplishments	Status
SF1A	Establish automated information systems in Court lobbies for tentative rulings and Court calendar information.	Kiosks in lobbies display judicial calendars.	C
SF1B	Establish <i>pro bono</i> lawyer consultation rooms in Court intake offices.	Facilities provided at Clerk's Offices for reaffirmation counseling (<i>pro bono</i>).	C
SF1C	Factor technology needs of public users into the development of facilities (for example, space for portable terminals, copiers).	Electric outlets in public carrels, free on-site webPACER access, multiple terminals, Print-on-Demand, policy on use of personal photocopiers.	C
SF2A	Advocate revision of <u>AO Design Guides</u> and <u>GSA Standards and Guidelines</u> regarding employee break rooms and restrooms, size of courtrooms, public space areas for high volume Courts, <i>pro bono</i> lawyer consultation facilities, and handicapped access (including hearing and visually impaired).	At the national level, the December 1997 revision of the U. S. Courts Design Guide addressed some of these issues including employee break rooms, restrooms, and handicapped access.	C
SF2B	Develop procedures to create a security system that protects Court documents and property.	Numerous programs, upgrades and activities outlined in Clerk's Office reports, including: imaging (less handling of files/less risk of loss/damage), archiving files faster (i.e., DNC), computer equipment and data safeguards, public carrels modified district-wide, additional security cameras, data base backup tapes, etc., webPACER allows public to access documents without the need to view the actual case files/dockets.	C

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LIST OF EXHIBITS

- Exhibit 1** Bankruptcy Filings: 1980-2000
- Exhibit 2** Bankruptcy Filings by Month: 1994-2000
- Exhibit 3** Bankruptcy Filings by Chapter: 1980-2000
- Exhibit 4** Los Angeles Division Bankruptcy Filings by Chapter: 1980-2000
- Exhibit 5** Riverside Division Bankruptcy Filings by Chapter: 1980-2000
- Exhibit 6** Santa Ana Division Bankruptcy Filings by Chapter: 1980-2000
- Exhibit 7** Northern Division Bankruptcy Filings by Chapter: 1980-2000
- Exhibit 8** San Fernando Valley Division Bankruptcy Filings by Chapter: 1980-2000
- Exhibit 9** Bankruptcy Filings and Percentage Change: 1980-2000
- Exhibit 10** Chapter 7 Monthly Closing Performance: 1/97-12/00
- Exhibit 11** Comparison of Bankruptcy Cases Filed and Closed: 2000
- Exhibit 12** Percent of District's Bankruptcy Filings by Division
- Exhibit 13** Comparison of Bankruptcy Filings 1999 vs. 2000
- Exhibit 14** Comparison of Bankruptcy Closings 1999 vs. 2000
- Exhibit 15** Comparison of Adversary Proceedings Filed and Closed 1996-2000
- Exhibit 16** Pending Bankruptcy Caseload by Division: 1996-2000

Exhibit 1

Central District of California Bankruptcy Filings: 1980-2000

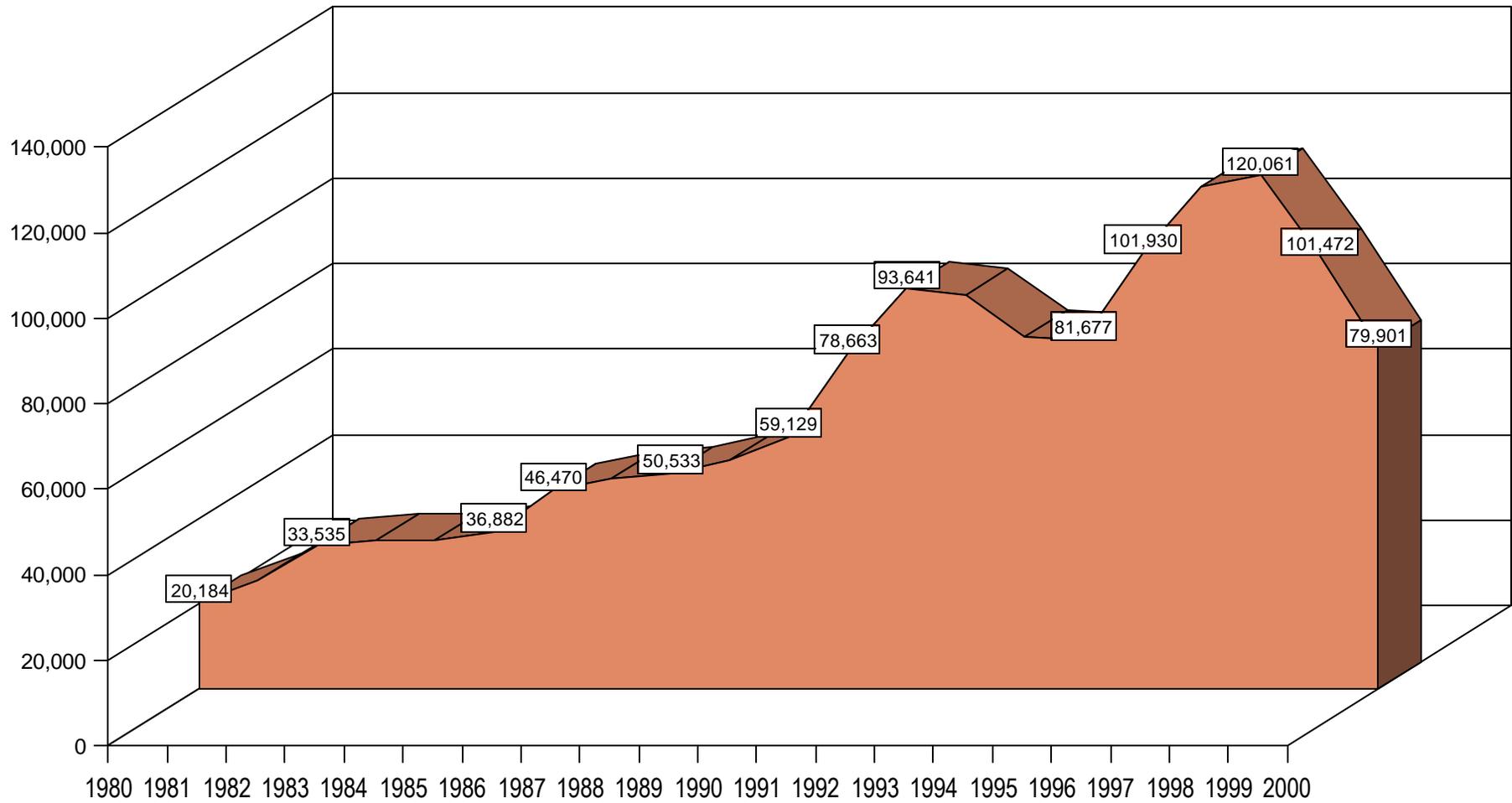


Exhibit 2

Central District of California Bankruptcy Filings by Month: 1994-2000

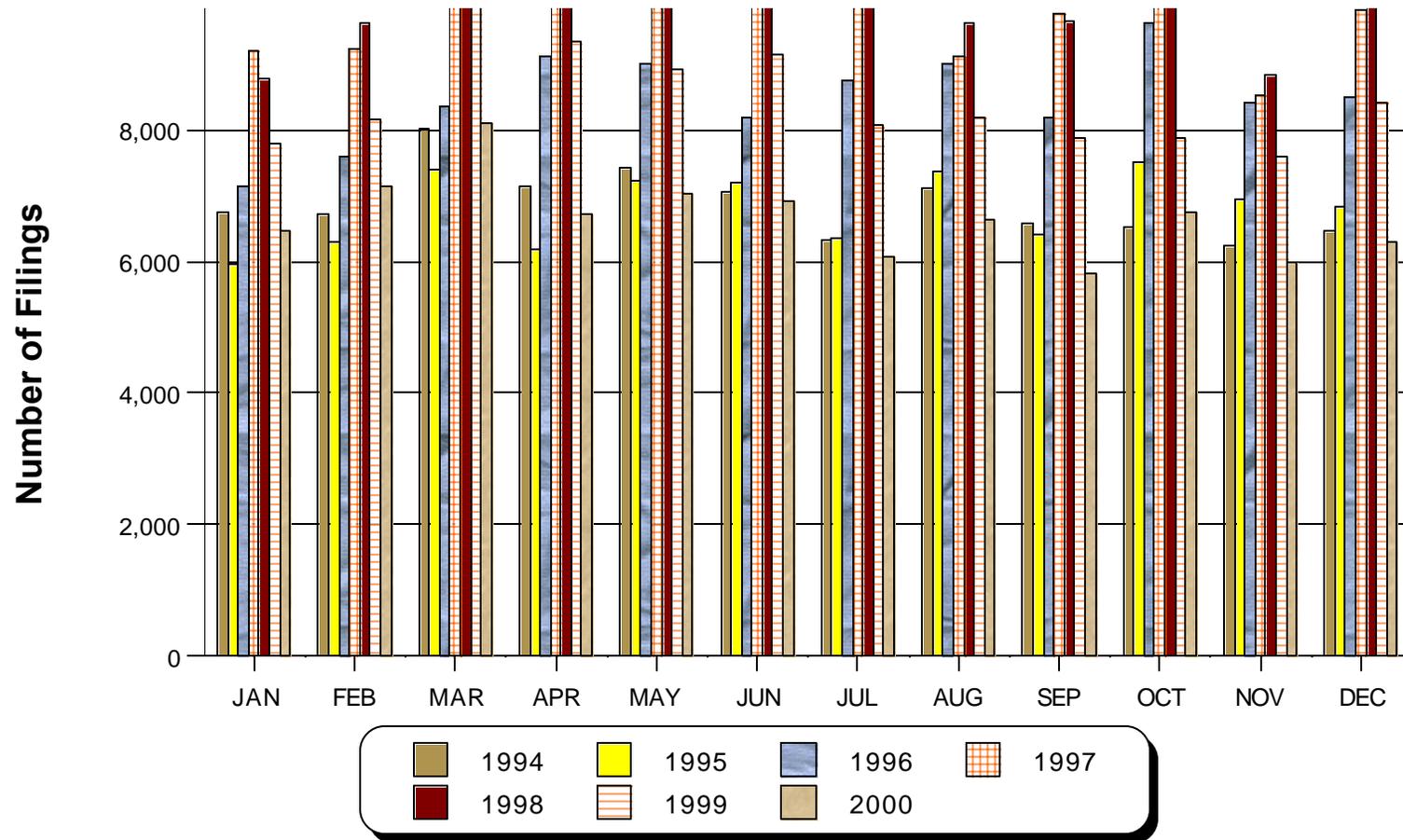


Exhibit 3

Central District of California Bankruptcy Filings by Chapter: 1980-2000

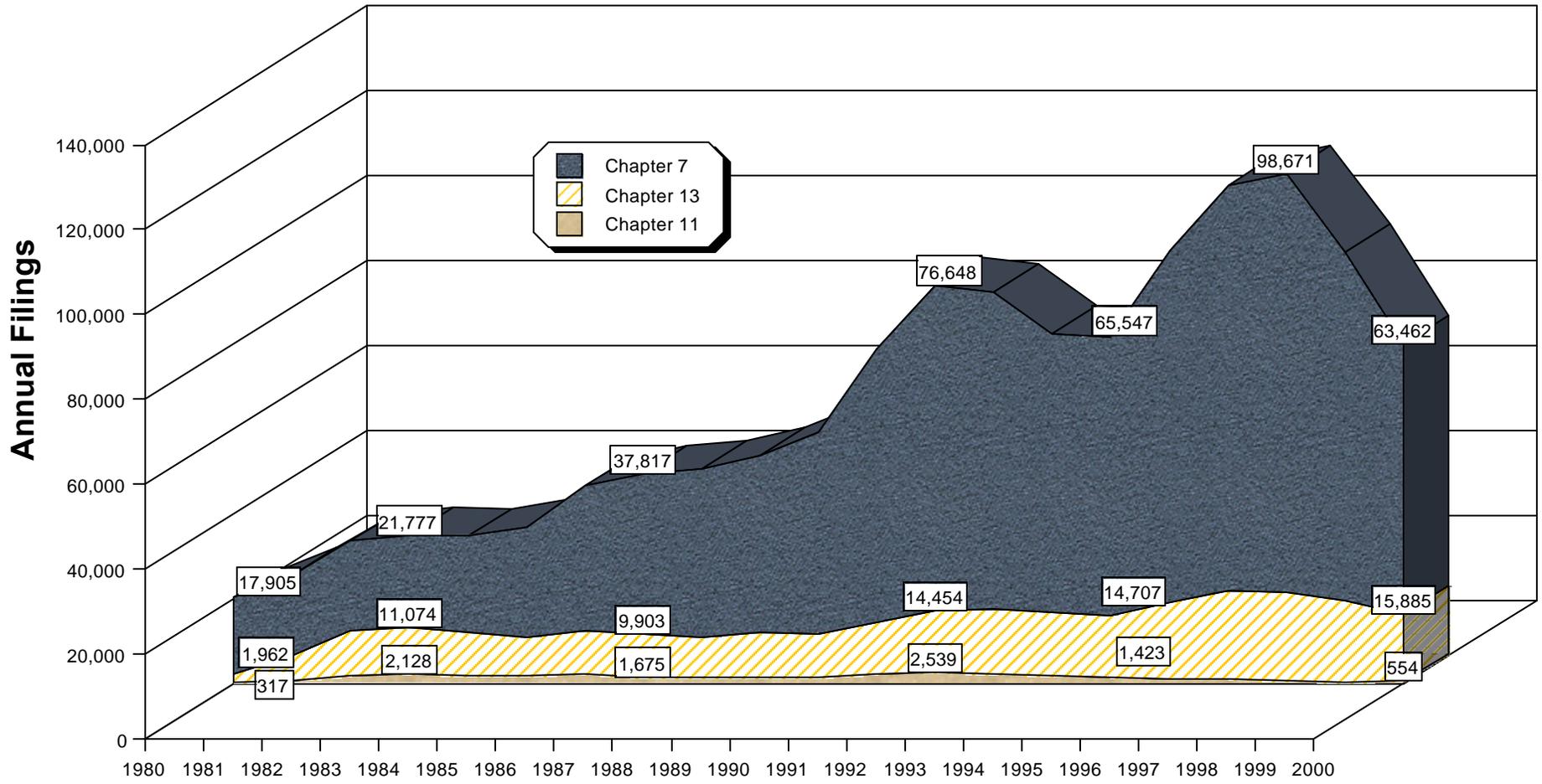
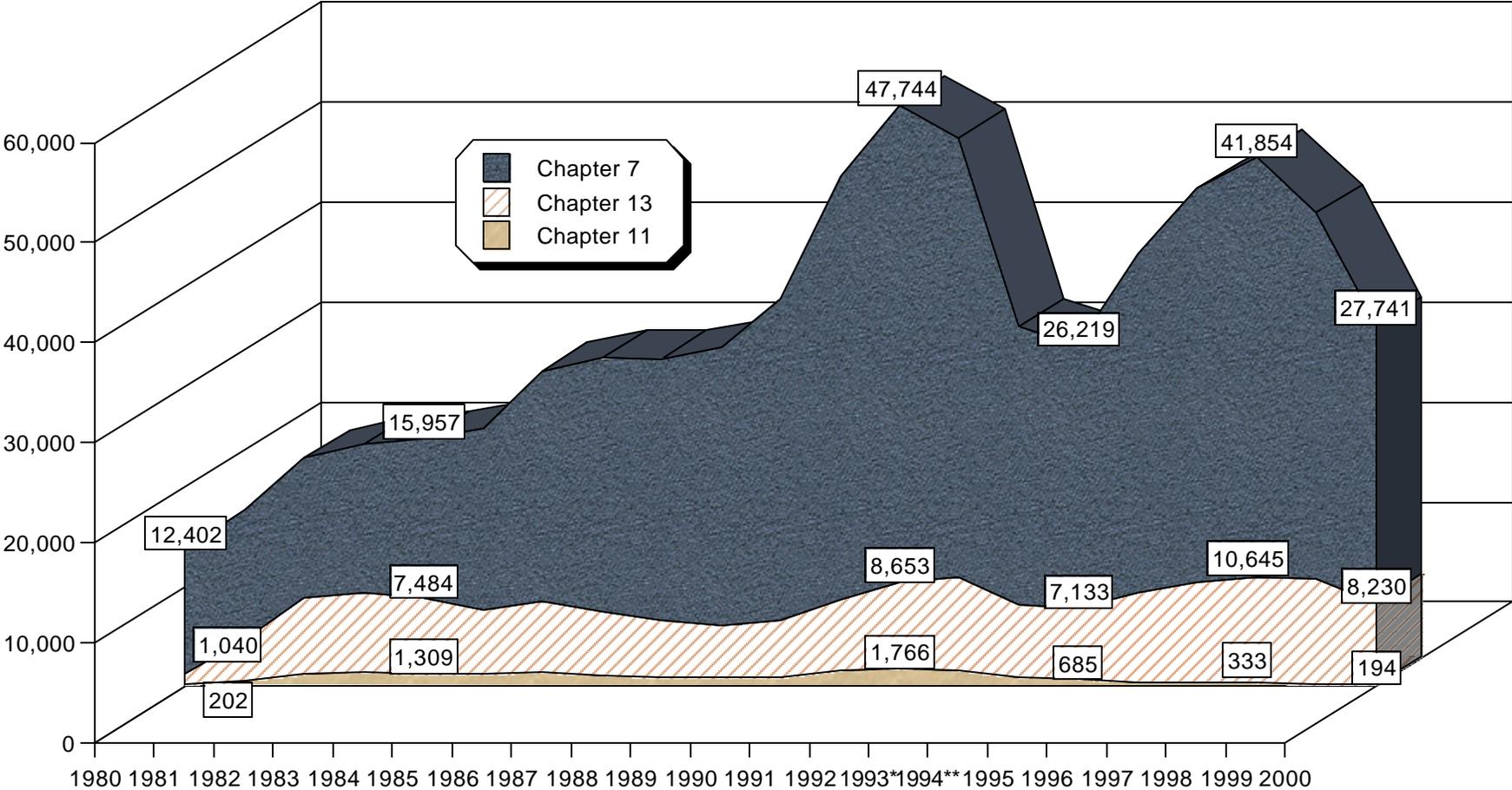


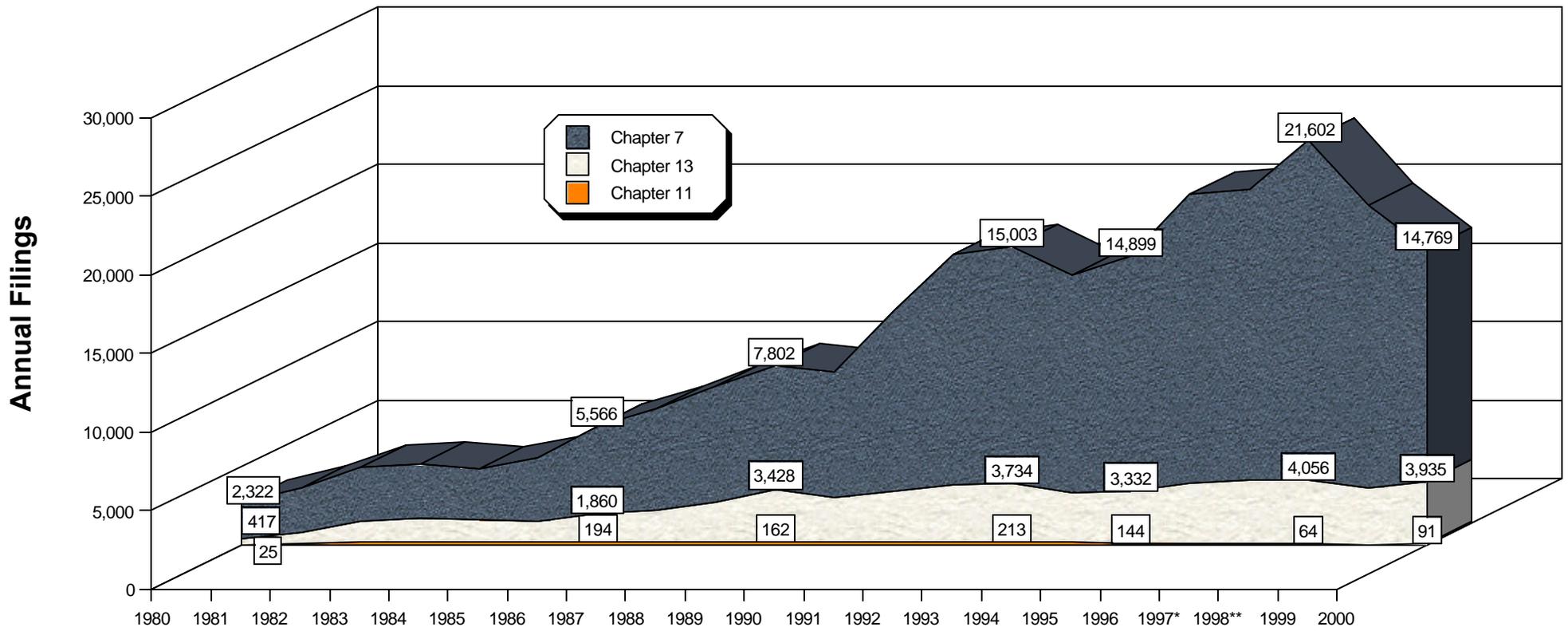
Exhibit 4

Los Angeles Division Bankruptcy Filings by Chapter: 1980-2000



* The drop in filings from 1992 to 1993 reflects the extraction of the Northern Division from the Los Angeles Division.
 ** The drop in filings from 1993 to 1994 reflects the extraction of the San Fernando Valley Division from the Los Angeles Division.

Exhibit 5
Riverside Division
Bankruptcy Filings by Chapter: 1980-2000

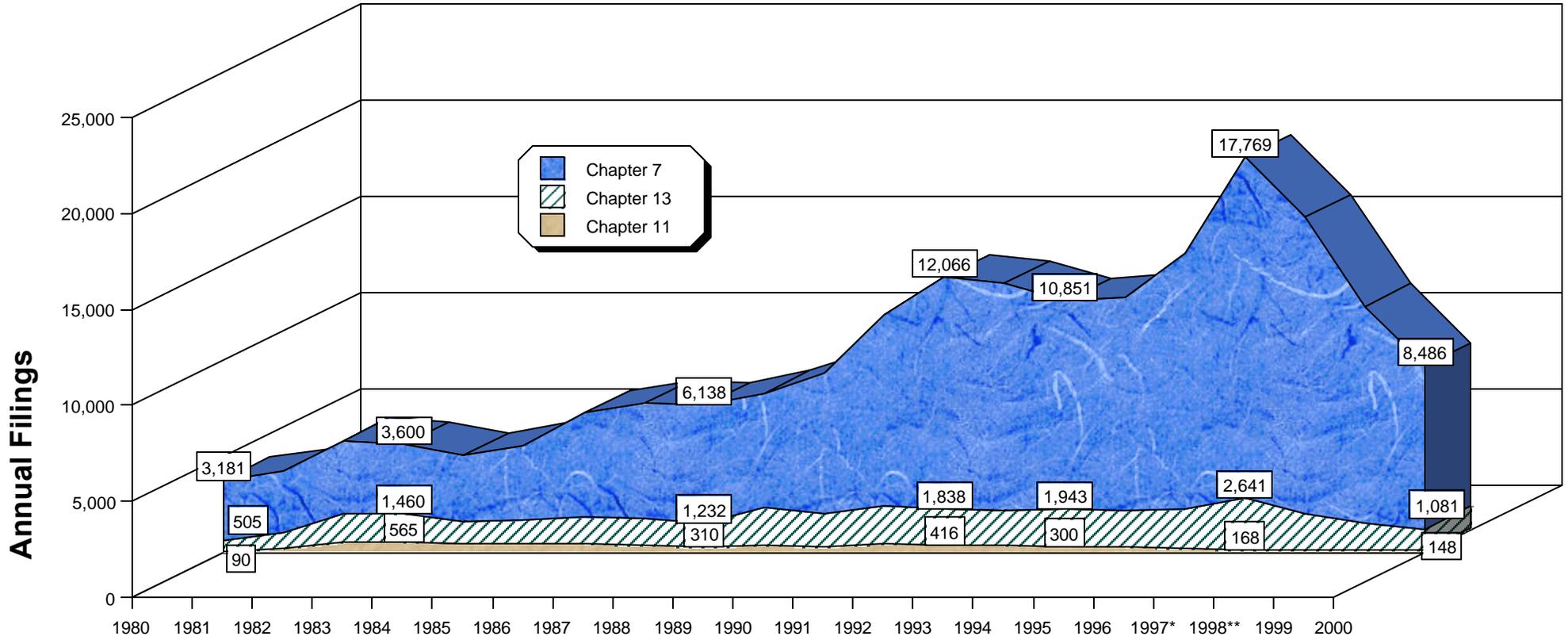


* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division.

** In April 1998, the 12 zip codes were returned to the Riverside Division.

Exhibit 6

Santa Ana Division Bankruptcy Filings by Chapter: 1980-2000

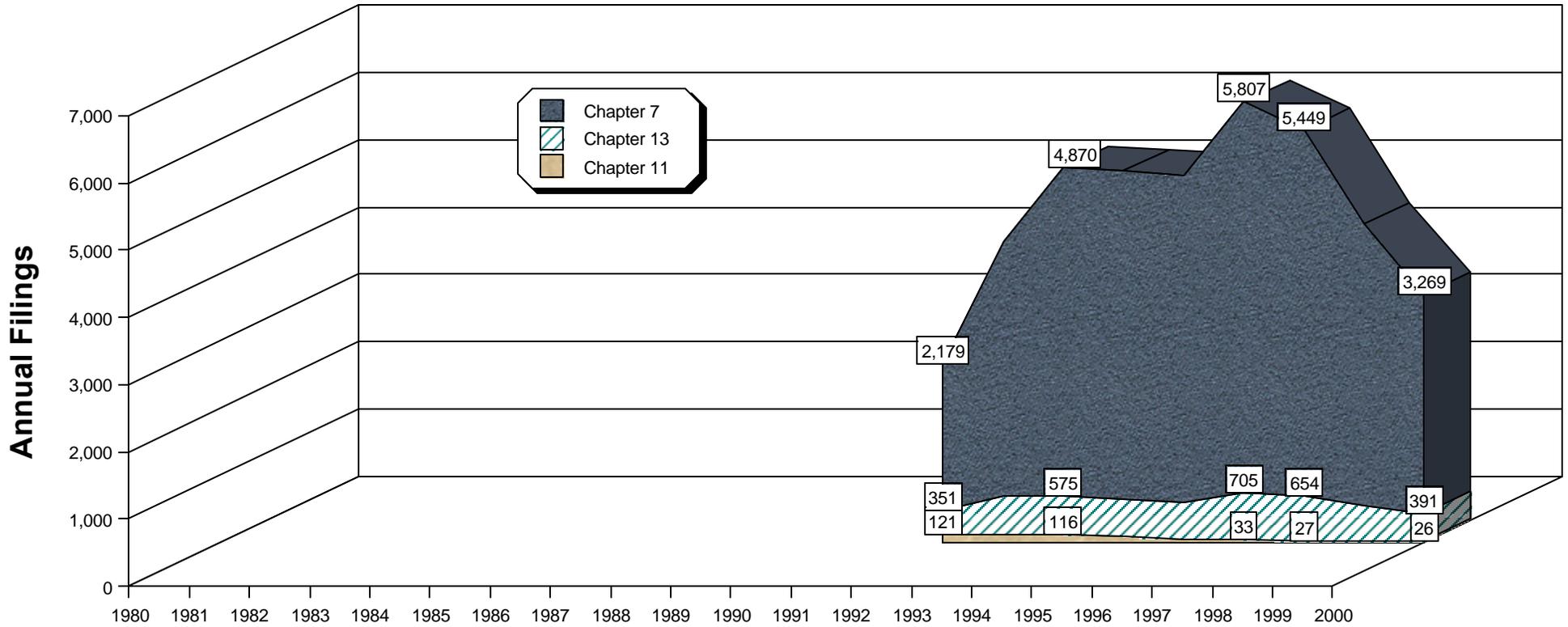


* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division.

**In April 1998, the 12 zip codes were returned to the Riverside Division.

Exhibit 7

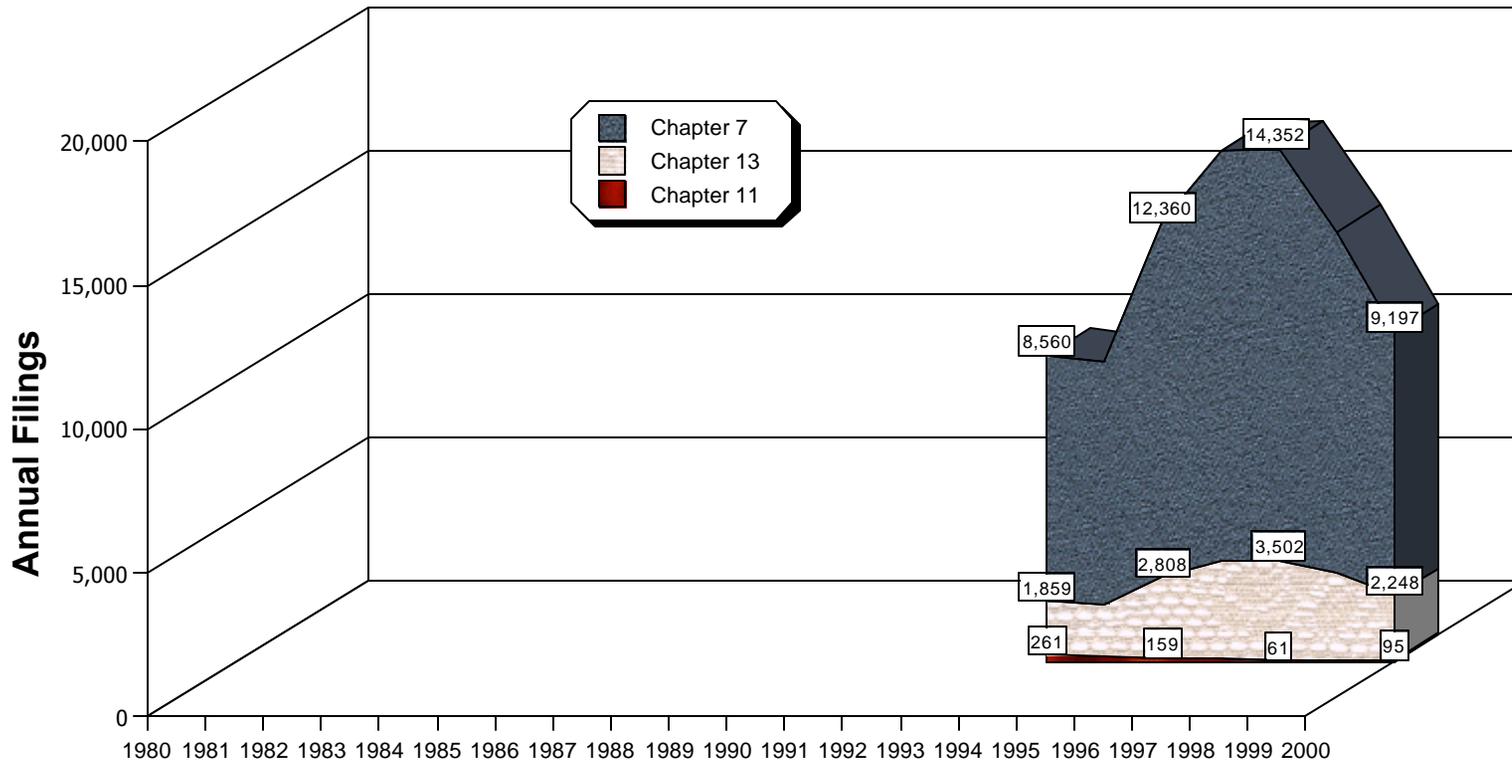
Northern Division Bankruptcy Filings by Chapter: 1980-2000



* Filings prior to 1992 were included in Los Angeles Division [See Exhibit 4]

Exhibit 8

San Fernando Valley Division Bankruptcy Filings by Chapter: 1980-2000



* Filings prior to 1993 were included in Los Angeles Division. (See Exhibit 4.)

Exhibit 9
Bankruptcy Filings and Percentage Change: 1980-2000

Year	Ch 7	% Chg	Ch 11	% Chg	Ch 13	% Chg	Total	% Chg
CENTRAL DISTRICT OF CALIFORNIA								
1980	17,905	N/A	317	N/A	1,962	N/A	20,184	N/A
1981	19,087	6.6%	787	148.3%	5,723	191.7%	25,597	26.8%
1982	20,985	9.9%	2,022	156.9%	10,528	84.0%	33,535	31.0%
1983	21,777	3.8%	2,128	5.2%	11,074	5.2%	34,979	4.3%
1984	22,669	4.1%	2,003	-5.9%	10,001	-9.7%	34,673	-0.9%
1985	25,927	14.4%	1,937	-3.3%	9,018	-9.8%	36,882	6.4%
1986	33,943	30.9%	2,082	7.5%	10,445	15.8%	46,470	26.0%
1987	37,817	11.4%	1,675	-19.5%	9,903	-5.2%	49,395	6.3%
1988	39,665	4.9%	1,358	-18.9%	9,510	-4.0%	50,533	2.3%
1989	41,556	4.8%	1,391	2.4%	10,662	12.1%	53,609	6.1%
1990	47,370	14.0%	1,478	6.3%	10,281	-3.6%	59,129	10.3%
1991	64,090	35.3%	2,268	53.5%	12,305	19.7%	78,663	33.0%
1992	76,648	19.6%	2,539	11.9%	14,454	17.5%	93,641	19.0%
1993	74,528	-2.8%	2,421	-4.6%	15,343	6.2%	92,292	-1.4%
1994	65,828	-11.7%	1,792	-26.0%	14,808	-3.5%	82,428	-10.7%
1995	65,547	-0.4%	1,423	-20.6%	14,707	-0.7%	81,677	-0.9%
1996	82,760	26.3%	1,026	-27.9%	18,144	23.4%	101,930	24.8%
1997	95,572	15.5%	886	-13.6%	20,860	15.0%	117,318	15.1%
1998	98,671	3.2%	605	-31.7%	20,785	-0.4%	120,061	2.3%
1999	81,794	-17.1%	452	-25.3%	19,224	-7.5%	101,470	-15.5%
2000	63,462	-22.4%	554	22.6%	15,885	-17.4%	79,901	-21.3%
LOS ANGELES DIVISION								
1980	12,402	N/A	202	N/A	1,040	N/A	13,644	N/A
1981	13,023	5.0%	508	151.5%	4,162	300.2%	17,693	29.7%
1982	13,838	6.3%	1,291	154.1%	7,655	83.9%	22,784	28.8%
1983	14,795	6.9%	1,361	5.4%	8,074	5.5%	24,230	6.3%
1984	15,957	7.9%	1,309	-3.8%	7,484	-7.3%	24,750	2.1%
1985	18,018	12.9%	1,263	-3.5%	6,473	-13.5%	25,754	4.1%
1986	22,974	27.5%	1,426	12.9%	7,164	10.7%	31,564	22.6%
1987	25,374	10.4%	1,125	-21.1%	6,392	-10.8%	32,891	4.2%
1988	26,157	3.1%	884	-21.4%	5,709	-10.7%	32,750	-0.4%
1989	27,797	6.3%	867	-1.9%	5,247	-8.1%	33,911	3.5%
1990	32,078	15.4%	1,005	15.9%	5,659	7.9%	38,742	14.2%
1991	42,723	33.2%	1,583	57.5%	7,063	24.8%	51,369	32.6%
1992	47,744	11.8%	1,766	11.6%	8,653	22.5%	58,163	13.2%
1993	43,875	-8.1%	1,693	-4.1%	9,281	7.3%	54,849	-5.7%
1994	27,701	-36.9%	930	-45.1%	7,308	-21.3%	35,939	-34.5%
1995	26,219	-5.4%	685	-26.3%	7,133	-2.4%	34,037	-5.3%
1996	33,873	29.2%	493	-28.0%	8,917	25.0%	43,283	27.2%
1997	39,217	15.8%	486	-1.4%	10,018	12.3%	49,721	14.9%
1998	41,854	6.7%	333	-31.5%	10,645	6.3%	52,832	6.3%
1999	36,510	-12.8%	210	-36.9%	10,608	-0.3%	47,328	-10.4%
2000	27,741	-24.0%	194	-7.6%	8,230	-22.4%	36,165	-23.6%
SAN FERNANDO VALLEY DIVISION								
(Filings prior to 1994 were included in Los Angeles Division)								
1994	8,560	N/A	261	N/A	1,859	N/A	10,680	N/A
1995	8,449	-1.3%	231	-11.5%	1,762	-5.2%	10,442	-2.2%
1996	12,360	46.3%	159	-31.2%	2,808	59.4%	15,327	46.8%
1997	14,287	15.6%	123	-22.6%	3,407	21.3%	17,817	16.2%
1998	14,352	0.5%	61	-50.4%	3,502	2.8%	17,915	0.6%
1999	11,850	-17.4%	63	3.3%	3,060	-12.6%	14,973	-16.4%
2000	9,197	-22.4%	95	50.8%	2,248	-26.5%	11,540	-22.9%

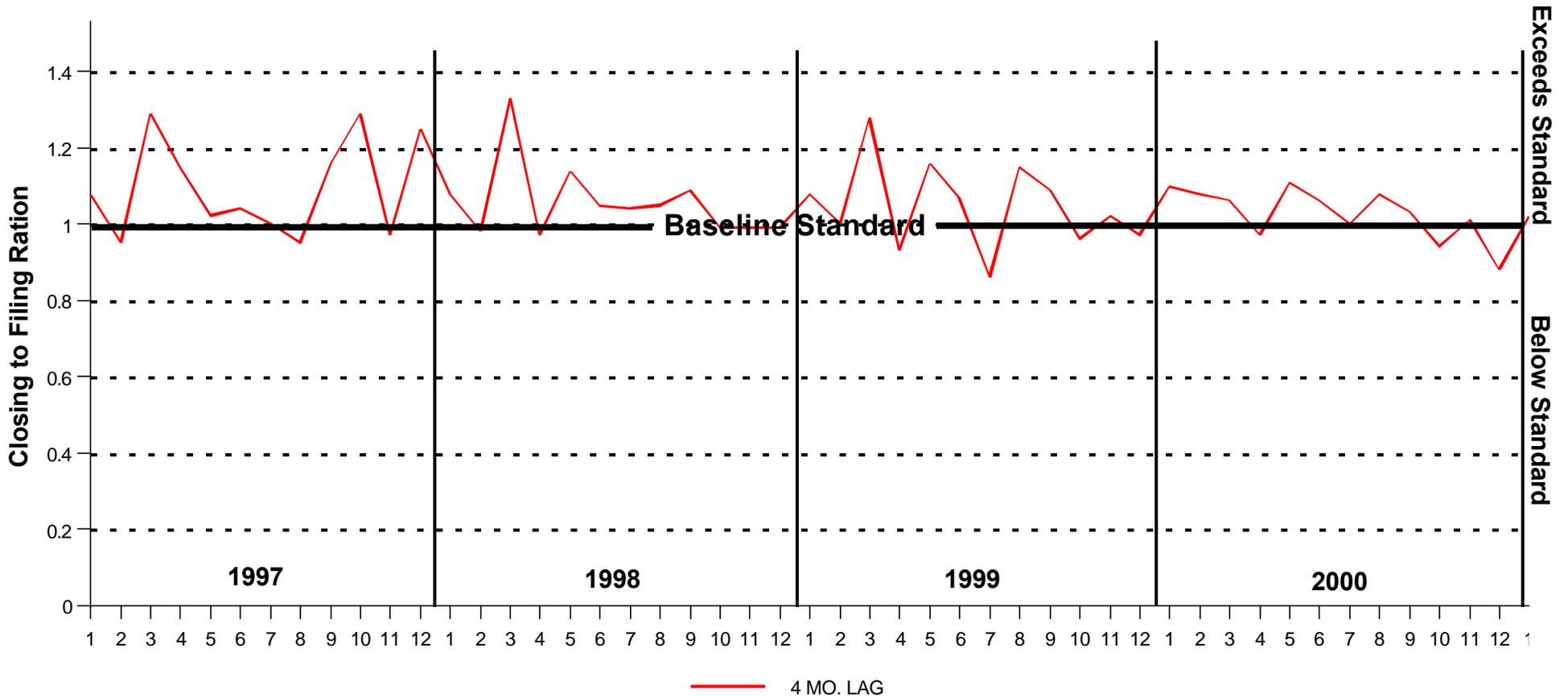
Bankruptcy Filings and Percentage Change: 1980-2000 (Continued)

Year	Ch 7	% Chg	Ch 11	% Chg	Ch 13	% Chg	Total	% Chg
RIVERSIDE DIVISION								
1980	2,322	N/A	25	N/A	417	N/A	2,764	N/A
1981	2,861	23.2%	91	264.0%	696	66.9%	3,648	32.0%
1982	3,361	17.5%	200	119.8%	1,354	94.5%	4,915	34.7%
1983	3,382	0.6%	202	1.0%	1,540	13.7%	5,124	4.3%
1984	3,248	-4.0%	220	8.9%	1,384	-10.1%	4,852	-5.3%
1985	3,983	22.6%	194	-11.8%	1,363	-1.5%	5,540	14.2%
1986	5,566	39.7%	194	0.0%	1,860	36.5%	7,620	37.5%
1987	6,463	16.1%	166	-14.4%	2,091	12.4%	8,720	14.4%
1988	7,370	14.0%	164	-1.2%	2,569	22.9%	10,103	15.9%
1989	7,802	5.9%	162	-1.2%	3,428	33.4%	11,392	12.8%
1990	7,978	2.3%	164	1.2%	2,903	-15.3%	11,045	-3.0%
1991	11,449	43.5%	228	39.0%	3,249	11.9%	14,926	35.1%
1992	14,659	28.0%	236	3.5%	3,612	11.2%	18,507	24.0%
1993	15,003	2.3%	213	-9.7%	3,734	3.4%	18,950	2.4%
1994	13,846	-7.7%	185	-13.1%	3,123	-16.4%	17,154	-9.5%
1995	14,899	7.6%	144	-22.2%	3,332	6.7%	18,375	7.1%
1996	18,374	23.3%	114	-20.8%	3,836	15.1%	22,324	21.5%
1997*	18,492	0.6%	76	-33.3%	4,089	6.6%	22,657	1.5%
1998	21,602	16.8%	64	-15.8%	4,056	-0.8%	25,722	13.5%
1999	17,944	-16.9%	46	-28.1%	3,639	-10.3%	21,629	-15.9%
2000	14,769	-17.7%	91	97.8%	3,935	8.1%	18,795	-13.1%
SANTA ANA DIVISION								
1980	3,181	N/A	90	N/A	505	N/A	3,776	N/A
1981	3,203	0.7%	188	108.9%	865	71.3%	4,256	12.7%
1982	3,786	18.2%	531	182.4%	1,519	75.6%	5,836	37.1%
1983	3,600	-4.9%	565	6.4%	1,460	-3.9%	5,625	-3.6%
1984	3,464	-3.8%	474	-16.1%	1,133	-22.4%	5,071	-9.8%
1985	3,926	13.3%	480	1.3%	1,182	4.3%	5,588	10.2%
1986	5,403	37.6%	462	-3.8%	1,421	20.2%	7,286	30.4%
1987	5,980	10.7%	384	-16.9%	1,420	-0.1%	7,784	6.8%
1988	6,138	2.6%	310	-19.3%	1,232	-13.2%	7,680	-1.3%
1989	5,957	-2.9%	362	16.8%	1,987	61.3%	8,306	8.2%
1990	7,314	22.8%	309	-14.6%	1,719	-13.5%	9,342	12.5%
1991	9,918	35.6%	457	47.9%	1,993	15.9%	12,368	32.4%
1992	12,066	21.7%	416	-9.0%	1,838	-7.8%	14,320	15.8%
1993	11,874	-1.6%	393	-5.5%	1,762	-4.1%	14,029	-1.4%
1994	10,851	-8.6%	300	-23.7%	1,943	10.3%	13,094	-6.7%
1995	11,088	2.2%	285	-5.0%	1,932	-0.6%	13,305	1.6%
1996	13,292	19.9%	213	-25.3%	2,034	5.3%	15,539	16.8%
1997*	17,769	33.7%	168	-21.1%	2,641	29.8%	20,578	32.4%
1998	15,414	-13.3%	120	-28.6%	1,928	-27.0%	17,462	-15.1%
1999	11,300	-26.7%	116	-3.3%	1,397	-27.5%	12,813	-26.6%
2000	8,486	-24.9%	148	27.6%	1,081	-22.6%	9,715	-24.2%
NORTHERN DIVISION								
(Filings prior to 1992 were included in Los Angeles Division)								
1992	2,179	N/A	121	N/A	351	N/A	2,651	N/A
1993	3,776	73.3%	122	0.8%	566	61.3%	4,464	68.4%
1994	4,870	29.0%	116	-4.9%	575	1.6%	5,561	24.6%
1995	4,892	0.5%	78	-32.8%	548	-4.7%	5,518	-0.8%
1996	4,861	-0.6%	47	-39.7%	549	0.2%	5,457	-1.1%
1997	5,807	19.5%	33	-29.8%	705	28.4%	6,545	19.9%
1998	5,449	-6.2%	27	-18.2%	654	-7.2%	6,130	-6.3%
1999	4,190	-23.1%	17	-37.0%	520	-20.5%	4,727	-22.9%
2000	3,269	-22.0%	26	52.9%	391	-24.8%	3,686	-22.0%

* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division. In April 1998, those 12 zip codes were returned to the Riverside Division.

Exhibit 10
 United States Bankruptcy Court
 Central District of California

Chapter 7 Monthly Closing Performance: 1/97-12/00*



* (Adjusted for 4-Month Closing Lag)

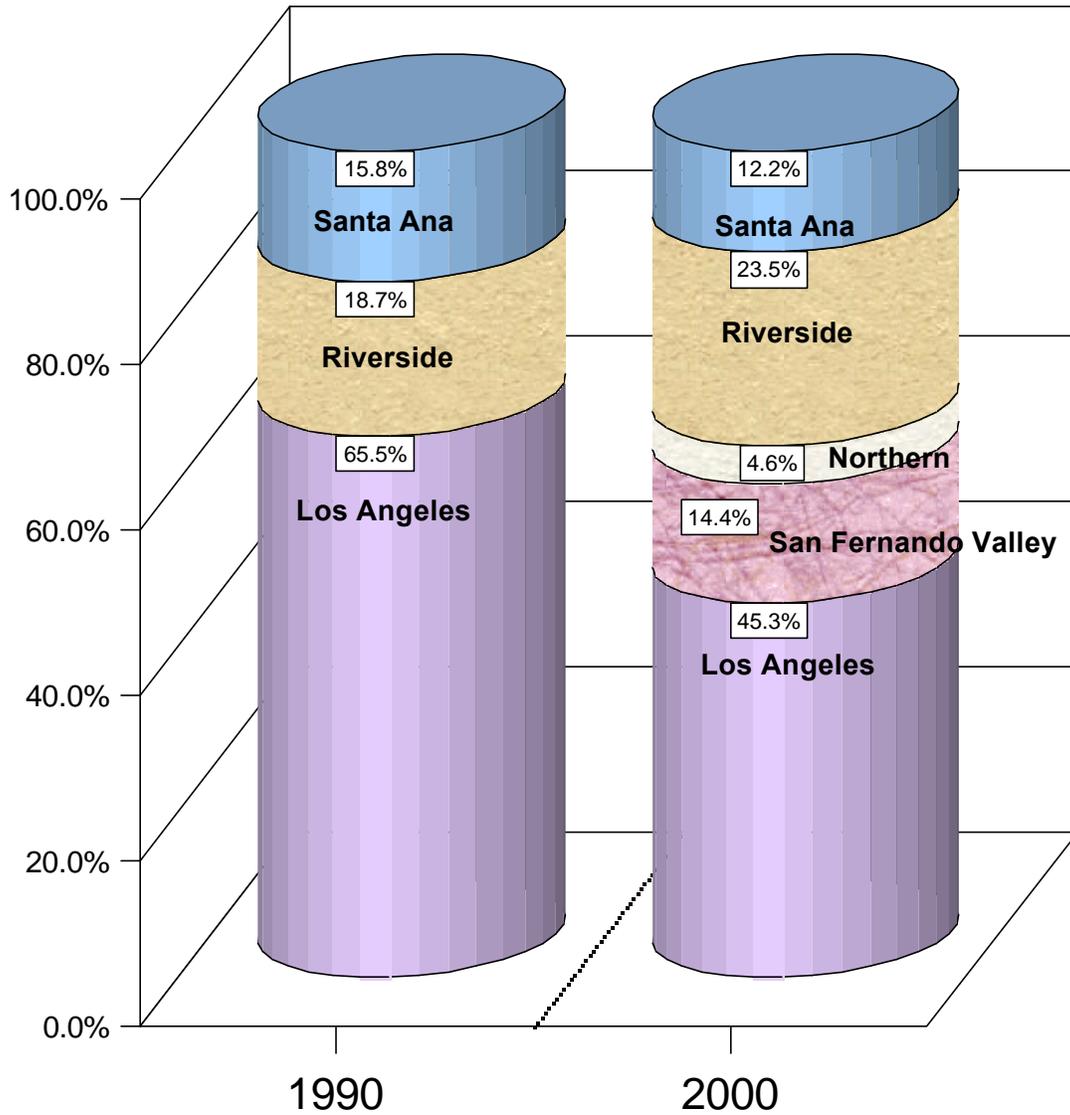
Exhibit 11

Central District of California Comparison of Bankruptcy Cases Filed and Closed: 2000

Chapter	Total Filed	Total Closed	Difference	Ratio (Closings/Filings)
DISTRICT				
07	63,462	71,836	8,374	1.13
11	554	419	-135	0.76
12	0	5	5	n/a
13	15,885	16,711	826	1.05
Total	79,901	88,971	9,070	1.11
LOS ANGELES DIVISION				
07	27,741	31,428	3,687	1.13
11	194	179	-15	0.92
12	0	0	0	N/A
13	8,230	9,288	1,058	1.13
Total	36,165	40,895	4,730	1.13
RIVERSIDE DIVISION				
07	14,769	16,307	1,538	1.10
11	91	51	-40	0.56
12	0	3	3	N/A
13	3,935	4,006	71	1.02
Total	18,795	20,367	1,572	1.08
SANTA ANA DIVISION				
07	8,486	9,834	1,348	1.16
11	148	105	-43	0.71
12	0	1	1	N/A
13	1,081	1,139	58	1.05
Total	9,715	11,079	1,364	1.14
NORTHERN DIVISION				
07	3,269	3,764	495	1.15
11	26	22	-4	0.85
12	0	1	1	N/A
13	391	414	23	1.06
Total	3,686	4,201	515	1.14
SAN FERNANDO VALLEY DIVISION				
07	9,197	10,503	1,306	1.14
11	95	62	-33	0.65
12	0	0	0	N/A
13	2,248	1,864	-384	0.83
Total	11,540	12,429	889	1.08

Exhibit 12

Central District of California Percent of District's Bankruptcy Filings by Division*



* The Northern and San Fernando Valley Divisions were separated from the Los Angeles Division in 1992 and 1994, respectively.

Exhibit 13

CENTRAL DISTRICT OF CALIFORNIA Comparison of Bankruptcy Filings 1999 vs. 2000

Chapter	1999	2000	% Chg
DISTRICT			
07	81,794	63,462	-22.4%
11	452	554	22.6%
13	19,224	15,885	-17.4%
Total	101,470	79,901	-21.3%

LOS ANGELES DIVISION			
07	36,510	27,741	-24.0%
11	210	194	-7.6%
13	10,608	8,230	-22.4%
Total	47,328	36,165	-23.6%

RIVERSIDE DIVISION			
07	17,944	14,769	-17.7%
11	46	91	97.8%
13	3,639	3,935	8.1%
Total	21,629	18,795	-13.1%

SANTA ANA DIVISION			
07	11,300	8,486	-24.9%
11	116	148	27.6%
13	1,397	1,081	-22.6%
Total	12,813	9,715	-24.2%

NORTHERN DIVISION			
07	4,190	3,269	-22.0%
11	17	26	52.9%
13	520	391	-24.8%
Total	4,727	3,686	-22.0%

SAN FERNANDO VALLEY DIVISION			
07	11,850	9,197	-22.4%
11	63	95	50.8%
13	3,060	2,248	-26.5%
Total	14,973	11,540	-22.9%

Exhibit 14

CENTRAL DISTRICT OF CALIFORNIA Comparison of Bankruptcy Closings 1999 vs. 2000

Chapter	1999	2000	% Chg
DISTRICT			
07	93,238	71,836	-29.8%
11	645	419	-35.0%
13	17,848	16,711	-6.4%
Total	111,731	88,966	-20.4%

LOS ANGELES DIVISION			
07	40,013	31,428	-21.5%
11	320	179	-44.1%
13	9,947	9,288	-6.6%
Total	50,280	40,895	-18.7%

RIVERSIDE DIVISION			
07	21,599	16,307	-24.5%
11	41	51	24.4%
13	3,190	4,006	25.6%
Total	24,830	20,364	-18.0%

SANTA ANA DIVISION			
07	12,479	9,834	-21.2%
11	163	105	-35.6%
13	1,566	1,139	-27.3%
Total	14,208	11,078	-22.0%

NORTHERN DIVISION			
07	5,345	3,764	-29.6%
11	36	22	-38.9%
13	559	414	-25.9%
Total	5,940	4,200	-29.3%

SAN FERNANDO VALLEY DIVISION			
07	13,802	10,503	-23.9%
11	85	62	-27.1%
13	2,586	1,864	-27.9%
Total	16,473	12,429	-24.5%

Exhibit 15

Central District of California Comparison of Adversary Proceedings Filed and Closed: 1996-2000

Year	Filed	% chg	Closed	% chg	Ratio (Closings/Filings)
DISTRICT					
1996	6,595	-20.1%	10,665	-19.7%	1.62
1997	7,022	6.5%	7,841	-26.5%	1.12
1998	5,920	-15.7%	7,804	-.5%	1.32
1998	5,920	-15.7%	7,804	-.5%	1.32
1999	5,462	-7.7%	6,425	-17.7%	1.18
2000	4,601	-15.8%	5,273	-17.9%	1.15
LOS ANGELES DIVISION					
1996	2,995	-38.6%	6,434	-4.7%	2.15
1997	3,032	1.2%	3,729	-42.0%	1.23
1998	2,826	-6.8%	3,781	1.4%	1.34
1999	2,485	-12.1%	3,049	-19.4%	1.23
2000	2,182	-12.2%	2,360	-22.6%	1.08
RIVERSIDE DIVISION*					
1996	1,079	38.9%	1,119	-33.8%	1.04
1997	1,010	-6.4%	1,541	37.7%	1.53
1998	842	-16.6%	866	-43.8%	1.03
1999	768	-8.8%	910	5.1%	1.18
2000	699	-9.0%	854	-6.2%	1.22
SANTA ANA DIVISION*					
1996	1,261	-13.2%	1,530	-10.3%	1.21
1997	1,415	12.2%	1,227	-19.8%	0.87
1998	921	-34.9%	1,439	17.3%	1.56
1999	1,101	16.3%	975	-32.2%	0.89
2000	814	-26.1%	942	-3.4%	1.16
NORTHERN DIVISION					
1996	385	-3.8%	359	-40.2%	0.93
1997	358	-7.0%	401	11.7%	1.12
1998	333	-7.0%	448	11.7%	1.35
1999	261	-21.6%	370	-17.4%	1.42
2000	174	-33.3%	256	-30.8%	1.47
SAN FERNANDO VALLEY DIVISION					
1996	878	18.8%	1,223	-51.7%	1.39
1997	1,207	37.5%	943	-22.9%	0.78
1998	998	-17.3%	1,270	34.7%	1.27
1999	847	-15.1%	1,121	-11.7%	1.32
2000	732	-13.6%	854	-23.8%	1.17

* In March 1997, 12 zip codes were reassigned from the Riverside Division to the Santa Ana Division and returned in April 1998.

Exhibit 16

Central District of California Pending Bankruptcy Caseload by Division: 1996-2000*								
Year	Ch 7	% Chg	Ch 11	% Chg	Ch 13	% Chg	Total*	% Chg
D I S T R I C T								
1996	42,645	6.3%	2,167	-33.9%	18,921	-3.3%	63,733	1.3%
1997	40,286	-5.5%	1,715	-20.9%	19,511	3.1%	61,512	-3.5%
1998	38,661	-4.0%	1,178	-31.3%	21,232	8.8%	61,071	-0.7%
1999	30,210	-21.9%	894	-24.1%	20,628	-2.8%	51,732	-15.3%
2000	24,093	-20.2%	984	10.1%	18,436	-10.6%	43,517	-15.9%
Los Angeles Division								
1996	16,147	-9.3%	830	-48.4%	8,290	-9.0%	25,267	-11.4%
1997	14,782	-8.5%	636	-23.4%	7,851	-5.3%	23,269	-7.9%
1998	14,680	-0.7%	437	-31.3%	9,917	26.3%	25,034	7.6%
1999	12,706	-13.4%	310	-29.1%	9,404	-5.2%	22,420	-10.4%
2000	10,217	-19.6%	311	0.3%	7,597	-19.2%	18,035	-19.6%
Riverside Division								
1996	9,286	23.0%	184	-23.3%	4,970	2.8%	14,440	14.4%
1997	8,053	-13.3%	124	-32.6%	5,206	4.7%	13,383	-7.3%
1998	9,936	23.4%	109	-12.1%	4,862	-6.6%	14,907	11.4%
1999	6,762	-31.9%	102	-6.4%	5,027	3.4%	11,891	-20.2%
2000	5,638	-16.6%	127	24.5%	4,737	-5.8%	10,504	-11.7%
Santa Ana Division								
1996	7,662	14.2%	579	-14.6%	2,773	0.3%	11,014	8.5%
1997	8,022	4.7%	470	-18.8%	3,178	14.6%	11,670	6.0%
1998	5,515	-31.3%	332	-29.4%	2,801	-11.9%	8,648	-25.9%
1999	4,720	-14.4%	258	-22.3%	2,437	-13.0%	7,415	-14.3%
2000	3,653	-22.6%	290	12.4%	2,239	-8.1%	6,183	-16.6%
Northern Division								
1996	2,761	0.0%	160	-22.3%	755	0.1%	3,676	7.1%
1997	3,380	22.4%	121	-24.4%	944	25.0%	4,445	20.9%
1998	2,668	-21.1%	97	-19.8%	862	-8.7%	3,627	-18.4%
1999	1,626	-39.1%	63	-35.1%	769	-10.8%	2,458	-32.2%
2000	1,210	-25.6%	57	-9.5%	710	-7.7%	1,978	-19.5%
San Fernando Valley								
1996	6,789	21.7%	414	-24.3%	2,133	1.3%	9,336	13.4%
1997	6,049	-10.9%	364	-12.1%	2,332	9.3%	8,745	-6.3%
1998	5,862	-3.1%	203	-44.2%	2,790	19.6%	8,855	1.3%
1999	4,396	-25.0%	161	-20.7%	2,991	7.2%	7,548	-14.8%
2000	3,465	-21.2%	199	23.6%	3,153	5.4%	6,817	-9.7%

* Does not include Chapters 9 or 12.



For additional information regarding this report or the Bankruptcy Court for the Central District of California, you may contact the senior staff of the Clerk's Office.

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David M. Grube, Chief Deputy - Administration
Michael E. Rotberg, Chief Deputy - Operations
Victoria McMurray, Assistant Chief Deputy - Operations
Kathy Campbell, Court Resources Division Manager

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Los Angeles Division

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